

12-18-00

JC02 Rec'd PCT/PTO

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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

13/FBR

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/720042

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/AU99/00486

June 17, 1999

June 17, 1998

TITLE OF INVENTION: Soft Verification and Authentication

APPLICANT(S) FOR DO/EO/US: Eugene Thomas Bond

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted)
- ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

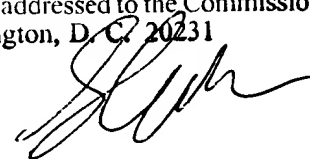
Items 11. To 16. Below concern document(s) or information included:

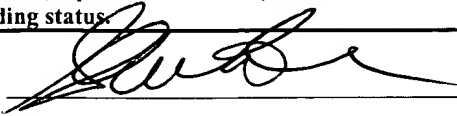
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (unexecuted)
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: 1) Request
2) Preliminary Amendment

EXPRESS MAIL NO.

EL 545192321 US

Deposited on Dec. 15, 2000 - I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231



17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)): Search Report has been prepared by the EPO or JPO \$970.00 International preliminary examination fee paid to USPTO (37CFR1.482)or JPO ... \$720.00 No International preliminary examination fee paid to USPTO (37 CFR 1.482) but International search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$790.00 Neither International preliminary examination fee paid to USPTO (37 CFR 1.482)nor International search fee (37CFR1.445(a)(2)) paid to USPTO..... \$1,070.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$98.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY																																																																							
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(3)).																																																																											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td style="text-align: center;">70-20 =</td> <td style="text-align: center;">50</td> <td style="text-align: center;">X\$18.00</td> <td style="text-align: center;">\$ 900</td> <td></td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">2-3 =</td> <td style="text-align: center;">-</td> <td style="text-align: center;">X\$80.00</td> <td style="text-align: center;">\$ 0</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+\$260.00</td> <td style="text-align: center;">\$ 0</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS</td> <td style="text-align: center;">\$900 (calculated upon Preliminary Amendment)</td> <td></td> </tr> <tr> <td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/>20 <input type="checkbox"/>30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). f\$40.00 per property.</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td style="text-align: center;">\$</td> <td style="text-align: center;">\$</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: center;">Charged</td> <td style="text-align: center;">\$ 2,000</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	70-20 =	50	X\$18.00	\$ 900		Independent claims	2-3 =	-	X\$80.00	\$ 0		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$260.00	\$ 0		TOTAL OF ABOVE CALCULATIONS				\$900 (calculated upon Preliminary Amendment)		Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$		SUBTOTAL =				\$		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		TOTAL NATIONAL FEE =				\$		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). f\$40.00 per property.				\$		TOTAL FEES ENCLOSED =				\$	\$					Charged	\$ 2,000
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a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>50-1290</u> in the amount of <u>\$2,000</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1290</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																											
SEND ALL CORRESPONDENCE TO: Shahan Islam, Esq. Rosenman & Colin LLP 575 Madison Avenue New York, NY 10022-2585			SIGNATURE:  NAME: <u>SHAHAN ISLAM, ESQ.</u> REGISTRATION NUMBER: <u>32,507</u>																																																																								

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The Commissioner of Patents
PO Box 200
WODEN ACT 2606

F B RICE & CO
SYDNEY NSW
Speed Dial 511

20 May 1999

Our Ref: 84677
Contact: Chris Owens

PATENTS

Dear Sir

Statement Explaining Lack of Signature

Re: **Aristocrat Leisure Industries Pty Ltd, Eugene Thomas Bond**
International Patent Application
Entitled: "Software verification and authentication"

With respect to the above new application, we advise that we cannot file a Power of Attorney on behalf of the applicant/inventor Eugene Thomas Bond.

Mr Bond was the applicant/inventor named in the priority application which was filed in USA on as US Provisional Application Serial No. 60/089654, on 17 June 1998.

Although our US associates have attempted to make contact, Mr Bond has been unavailable for signature since Aristocrat Leisure Industries Pty Ltd acquired the rights in the invention.

Yours respectfully
F B RICE & CO

CO/ih/e20/fbg0002

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